



CP Commit

Provides an overview of the fundamental practices of Client Protection and guidance to start on the Client Protection Pathway

CP Commit consists in a first step to implement Client Protection (CP) standards over time. It is also the ideal point of entry for financial service providers who wish to formally join the Client Protection Pathway.

What

A sub-set of **32 indicators** from the Universal Standards, all included in ALINUS, CP Commit covers about **half of the CP certification** framework of CP Full and about half of ALINUS.

Time needed to fill in CP Commit: about **one day** for a self-assessment.

For whom, for what

- **For Financial Service Providers** to address the most critical practices that they should implement to ensure they do no harm to clients. It is also meant as an entry-point for providers who wish to commit to implement Client Protection by signing onto the Cerise+SPTF Client Protection Pathway.
- **For investors'** due diligence to screen the basics of Client Protection.

Benefits

- Get familiar with the Client Protection fundamentals.
- Commit to implement CP with a self-assessment to be shared on the first step of the Client Protection Pathway, and start improving your existing practices around Client Protection.
- Demonstrate seriousness of purpose to key stakeholders: staff, regulators and policymakers, donors and investors who increasingly require Client Protection Pathway commitment as a threshold requirement for funding. Share key results with clients themselves.



CP Commit - which is the Client protection subset of ALINUS - has 60 scored indicators and details with the following breakdown: 85% of Entry indicators/details (28/33); 52% of Progress (11/21); 38% of Advanced (9/24); and 47% of the total Certification framework.

One of an integrated suite

The SPI online tools are **free to use**.

The SPI online offers reference tools aimed at **reducing reporting burden** for the users with a common framework and complementary tools. Each tool generates automatically specific social and environmental **dashboards** to summarize gaps and strengths and identify the actions for improvement.

CP Commit is a **“first-step” assessment tool focused on Client protection** in the range of powerful tools designed by Cerise+SPTF to give users exactly the information they need to progressively advance their social and environmental goals.

AT-A-GLANCE GUIDE TO ASSESSMENT TOOLS FOR SOCIAL AND ENVIRONMENTAL PERFORMANCE MANAGEMENT

	TOOLS						
	Universal Standards Pathway				Green Pathway	Client Protection Pathway	
	ESG Risk 30 indicators	ALINUS 68 indicators	SPI5 Entry 110 indicators	SPI5 Full 183 indicators	Focus Green 25 indicators	CP Commit 32 indicators	CP Full 78 indicators
Dimension 1: Social Strategy	●	● ★★★	● ★★★ *****	● ★★★ ***** ▲▲▲▲▲▲▲▲▲▲			
Dimension 2: Committed Leadership	●●●●●	●●●●● ★★★	●●●●● ★★★ *****	●●●●● ★★★ ***** ▲▲▲▲▲		●●● ★★	●●● ★★
Dimension 3: Client-Centered Products and services	●	● ★★★★★	● ★★★★★ *****	● ★★★★★ ***** ▲▲▲▲▲▲▲▲▲▲		● ★★★	● ★★★ *****
Dimension 4: Client Protection	●●●●●●●	●●●●●●● ★★★★★★	●●●●●●● ★★★★★★ *****	●●●●●●● ★★★★★★ ***** ▲▲▲▲▲▲▲▲▲▲		●●●●●●● ★★★★★★	●●●●●●● ★★★★★★ ***** ▲▲▲▲▲▲▲▲▲▲
Dimension 5: Responsible Human Resource Development	●●●●●●●●	●●●●●●●● ★★	●●●●●●●● ★★ ***** *****	●●●●●●●● ★★ ***** ***** ▲▲▲▲▲▲▲		● ★	● ★ ▲
Dimension 6: Responsible Growth and Returns	●●	●● ★★★★★	●● ★★★★★ *****	●● ★★★★★ ***** ▲▲▲▲▲▲▲▲▲▲		● ★★★★★	● ★★★★★ ***** ▲▲▲▲▲▲▲▲▲▲
Dimension 7: Environmental Performance Management	●●●●●	●●●●● ★★★★★	●●●●● ★★★★★ *****	●●●●● ★★★★★ ***** ▲▲▲▲▲▲▲	●●●●● ★★★★★ ***** ▲▲▲▲▲▲▲		

Each shape represents one indicator. The different shapes represent the same indicator appearing in multiple tools:
 ● = indicators from ESG risk tool ★ = additional indicators in ALINUS * = additional indicators in SPI5 Entry ▲ = additional indicator in SPI5 Full

- GUIDE TO TOOLS:** Choose the tool that is right for you and fits your current needs and goals.
- **ESG Risk:** Ensure compliance with basic “do not harm” principles, disclose Principal Adverse Impacts and manage ESG Risks.
 - **ALINUS:** Deliver robust social and environmental due diligence and reporting capabilities, and cultivate a responsible and inclusive investment portfolio.
 - **SPI5 Entry:** Take the first step on your social and environmental performance management journey.
 - **SPI5 Full:** Grow from good to great with a comprehensive social and environmental performance assessment.
 - **Focus Green:** Concentrate exclusively on management of environmental practices.
 - **CP Commit:** Provide an overview of the fundamental practices of Client Protection and guidance to start on the Client Protection Pathway.
 - **CP Full:** Complete a comprehensive assessment of Client Protection standards to reduce risks to clients.

ABOUT CERISE+SPTF

Cerise+SPTF is a joint venture between two of the global leaders in social and environmental performance management. Founded in 2005, the Social Performance Task Force, or SPTF, developed, and regularly updates, the Universal Standards for Social and Environmental Performance Management (the Universal Standards).

Cerise, a French nonprofit association created in 1998, pioneered the implementation of social performance management, working with committed financial service providers to launch the Social Performance Indicators initiative in 2001.

As the developers and champions of interdependent and complementary tools and products, Cerise and SPTF have worked closely together since the mid-2000s. We formalized our cooperation via a Memorandum of Understanding in 2021, and now operate under a common strategic plan, budget and workforce.