



# SPI Entry

## Take the first step on your social and environmental performance management journey

SPI Entry is the tool to assess fundamental social and environmental performance practices to ensure that they do no harm and can bring value to staff, clients and the environment.

### What

A sub-set of **110 indicators** from all seven dimensions of the Universal Standards, it was developed as a gateway product to engage in social and environmental performance management.

**Time needed** to fill in SPI Entry: **four to five days**



### For whom, for what

**For Financial Service Providers** to introduce social and environmental performance management, understand and work with long time-tested practices on basic Client Protection and SEPM approaches.

An intermediate tool before the more comprehensive SPI5 Full which includes newer standards such as tracking of outcomes, diversified governance or professional development.

### Benefits

- Conduct an assessment, based on long-time recognized best practices on Client Protection, social performance, and environmental performance management
- Learn how to build mission-driven management systems in assessing your practices and using related technical resources
- Disclose Principle Adverse Impact risk (because the ESG Risk tool is integrated into SPI Entry) and report to investors (because ALINUS tool is also integrated).

## One of an integrated suite

The SPI online tools are **free to use**.

The SPI online offers reference tools aimed at **reducing reporting burden** for the users with a common framework and complementary tools. Each tool generates automatically specific social and environmental **dashboards** to summarize gaps and strengths and identify the actions for improvement.

SPI Entry is a complete **“first-step” audit tool** in the range of powerful and complementary tools designed by Cerise+SPTF to give users exactly the information they need to advance progressively their social and environmental goals.

### AT-A-GLANCE GUIDE TO ASSESSMENT TOOLS FOR SOCIAL AND ENVIRONMENTAL PERFORMANCE MANAGEMENT

	TOOLS						
	Universal Standards Pathway			Green Pathway	Client Protection Pathway		
	ESG Risk 30 indicators	ALINUS 68 indicators	SPI5 Entry 110 indicators	SPI5 Full 183 indicators	Focus Green 25 indicators	CP Commit 32 indicators	CP Full 78 indicators
<b>Dimension 1:</b> Social Strategy	●	● ★★★	● ★★★ *****	● ★★★ ***** ▲▲▲▲▲▲▲▲▲▲			
<b>Dimension 2:</b> Committed Leadership	●●●●●	●●●●● ★★★	●●●●● ★★★ *****	●●●●● ★★★ ***** ▲▲▲▲▲		●●● ★★	●●● ★★
<b>Dimension 3:</b> Client-Centered Products and services	●	● *****	● ***** *****	● ***** ***** ▲▲▲▲▲▲▲▲▲▲		● ★★★	● ★★★ *****
<b>Dimension 4:</b> Client Protection	●●●●●●●	●●●●●●● *****	●●●●●●● ***** *****	●●●●●●● ***** ***** ▲▲▲▲▲▲▲▲▲▲		●●●●●●● *****	●●●●●●● ***** ***** ▲▲▲▲▲▲▲▲▲▲
<b>Dimension 5:</b> Responsible Human Resource Development	●●●●●●●●	●●●●●●●● ★★★	●●●●●●●● ★★★ ***** *****	●●●●●●●● ★★★ ***** ***** ▲▲▲▲▲▲▲▲		● ★	● ★ ▲
<b>Dimension 6:</b> Responsible Growth and Returns	●●	●● *****	●● ***** *****	●● ***** ***** ▲▲▲▲▲▲▲▲▲▲		● *****	● ***** ***** ▲▲▲▲▲▲▲▲▲▲
<b>Dimension 7:</b> Environmental Performance Management	●●●●●	●●●●● *****	●●●●● ***** *****	●●●●● ***** ***** ▲▲▲▲▲▲▲▲	●●●●● ***** ***** ▲▲▲▲▲▲▲▲		

Each shape represents one indicator. The different shapes represent the same indicator appearing in multiple tools:  
 ● = indicators from ESG risk tool    ★ = additional indicators in ALINUS    \* = additional indicators in SPI5 Entry    ▲ = additional indicator in SPI5 Full

- GUIDE TO TOOLS:** Choose the tool that is right for you and fits your current needs and goals.
- **ESG Risk:** Ensure compliance with basic “do not harm” principles, disclose Principal Adverse Impacts and manage ESG Risks.
  - **ALINUS:** Deliver robust social and environmental due diligence and reporting capabilities, and cultivate a responsible and inclusive investment portfolio.
  - **SPI5 Entry:** Take the first step on your social and environmental performance management journey.
  - **SPI5 Full:** Grow from good to great with a comprehensive social and environmental performance assessment.
  - **Focus Green:** Concentrate exclusively on management of environmental practices.
  - **CP Commit:** Provide an overview of the fundamental practices of Client Protection and guidance to start on the Client Protection Pathway.
  - **CP Full:** Complete a comprehensive assessment of Client Protection standards to reduce risks to clients.

## ABOUT CERISE+SPTF

Cerise+SPTF is a joint venture between two of the global leaders in social and environmental performance management. Founded in 2005, the Social Performance Task Force, or SPTF, developed, and regularly updates, the Universal Standards for Social and Environmental Performance Management (the Universal Standards).

Cerise, a French nonprofit association created in 1998, pioneered the implementation of social performance management, working with committed financial service providers to launch the Social Performance Indicators initiative in 2001.

As the developers and champions of interdependent and complementary tools and products, Cerise and SPTF have worked closely together since the mid-2000s. We formalized our cooperation via a Memorandum of Understanding in 2021, and now operate under a common strategic plan, budget and workforce.

